IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

re patents plication of: HUMES

Attorney Docket No. L/P04128US0

Serial No. 08/823,123

Examiner:

Filed: March 25, 1997

Art Unit:

For: SYSTEM AND METHOD FOR FILTERING DATA RECEIVED BY A COMPUTER

SYSTEM

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner of Patents Washington, D.C. 20231 S I R:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [XXX] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [] b. The enclosed statement is being filed after a first action on the merits but <u>before</u> the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:
[] i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or
[] ii. a check in the amount of \$230.00 required by 37 C.F.R. §1.17(p).

[] c. The enclosed statement is being filed <u>after</u> the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but <u>before</u> payment of the issue fee.

PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes <u>must</u> be checked.]

[] **PETITION FEE:** A check for \$130.00 required by 37 CFR §1.17(i)(1), is enclosed. [] **CERTIFICATION** is attended to in box (e) below.

[] d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.

[] e. checked.]	<u>Certification</u> [Check one] [Certification is required only if box (b)(i) or box (c) is
[]	I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,
	or
[]	I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was

or

known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to

[] Appropriate certification is attached.

the filing of this Information Disclosure Statement.

[X] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 12-0555. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: July 25, 1997

By: Keyin R. Klein Registration No. 38,000

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